

Notice of References Cited	Application/Control No. 10/079,022	Applicant(s)/Patent Under Reexamination CHARNLEY, JAMES ALLEN	
	Examiner James Rioux	Art Unit 3694	Page 1 of 2

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-2002/0007329 A1	01-2002	Alcaly et al.	705/35
*	B	US-2002/0013752 A1	01-2002	Johnson et al.	705/36
*	C	US-6,282,520 B1	08-2001	Schirripa, Felix	705/36R
*	D	US-6,912,509 B1	06-2005	Lear, James A.	705/36R
*	E	US-5,729,700	03-1998	Melnikoff, Meyer	705/36R
*	F	US-5,918,217	06-1999	Maggioncalda et al.	705/36R
*	G	US-6,078,904	06-2000	Rebane, George J.	705/36R
*	H	US-2002/0174047 A1	11-2002	Fernholz, Erhard R.	705/36
*	I	US-2003/0018556 A1	01-2003	Squyres, James Gately	705/36
*	J	US-7,171,385 B1	01-2007	Dembo et al.	705/36R
*	K	US-2001/0011243 A1	08-2001	Dembo et al.	705/36
*	L	US-5,812,988	09-1998	Sandretto, Michael J.	705/36R
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	James Charnley, Water Street Advisers Inc., ADV Part 2, (visited Mar. 3, 2007) , http://www.wtrstr.com.htm > (specifically Schedule F of Form ADV, 06/16/06, p. 8-9).
	V	Jack P. Friedman, Dictionary of Business Terms, Barron's Educational Series, 3rd ed., p.601.
	W	Roelfeldt and Cooley, Ranking Investments by Risk and Return, July-August 1977, Atlanta Economic Review, all pages.
	X	Linus Schrage, Optimization Applications in Finance, 05/02/1999, p 1-6.

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Notice of References Cited

Application/Control No.

10/079,022

Applicant(s)/Patent Under
Reexamination
CHARNLEY, JAMES ALLEN

Examiner

James Rioux

Art Unit

3694

Page 2 of 2

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Jay L. Devore, Probability and Statistics for Engineering and the Sciences, Brooks/Cole Publishing Company, 3re ed., p. 141-2, 257-263, and p. 334-5.
	V	University of Florida Department of Statistics, UF/Statistics Graduated Curriculum and Courses, (visited Mar. 3, 2007) , http://www.stat.ufl.edu/academics/grad/grad_courses.shtml >
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.